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**METHOD AND APPARATUS FOR VIRTUALIZING A SERIAL PORT IN A
DATA PROCESSING SYSTEM**

BACKGROUND OF THE INVENTION

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1. Technical Field:

The present invention relates generally to an improved data processing system, and in particular to a method and apparatus for handling data streams. Still
10 more particularly, the present invention provides a method, apparatus, and computer implemented instructions for virtualizing a serial port.

2. Description of Related Art:

15 A logical partitioning option (LPAR) within a data processing system (platform) allows multiple copies of a single operating system (OS) or multiple heterogeneous operating systems to be simultaneously run on a single data processing system platform. A partition, within
20 which an operating system image runs, is assigned a non-overlapping sub-set of the platform's resources. These platform allocable resources include one or more architecturally distinct processors with their interrupt management area, regions of system memory, and I/O
25 adapter bus slots. The partition's resources are represented by its own open firmware device tree to the OS image.

The configuration of these different partitions are typically managed through a terminal, such as a hardware
30 system console (HSC). This HSC is typically connected to the data processing system through a serial port within the data processing system. Many of the software

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application involving system management, system debug, or system reboot are hardcoded to use the first serial port of the system as a standard input/output device. These serial ports typically follow Recommended Standard-232

- 5 (RS-232), which is a TIA/EIA standard for serial transmission between computers and peripheral devices, such as a modem, mouse, or a serial port. A RS-232 port uses a 25-pin DB-25 or 9-pin DB-9 connector.

- 10 As a result, the HSC requires a dedicated RS-232 cable for connection to the data processing system to obtain output from these applications and programs. Such an architecture reduces the distance from which an HSC may be located from the data processing system. Such a constraint is undesirable.

- 15 Therefore, it would be advantageous to have an improved method, apparatus, and computer implemented instructions for receiving data from applications and programs using a dedicated port.

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SUMMARY OF THE INVENTION

The present invention provides a method, apparatus,
5 and computer implemented instructions for redirecting a
data stream within a data processing system. In response
to detecting a request from a terminal, a signal is sent
to a hardware switch to redirect the data stream from the
first port to a processor. The data stream is packetized
10 for transmission over a second port. The packetized data
stream is sent by the processor to a destination over the
second port.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the
5 invention are set forth in the appended claims. The
invention itself, however, as well as a preferred mode of
use, further objectives and advantages thereof, will best
be understood by reference to the following detailed
description of an illustrative embodiment when read in
10 conjunction with the accompanying drawings, wherein:

Figure 1 is a pictorial representation of a
distributed data processing system in which the present
invention may be implemented;

Figure 2 is a block diagram of a data processing
15 system in accordance with the present invention;

Figure 3 is a block diagram of a data processing
system, which may be implemented as a logically
partitioned server;

Figure 4 is a diagram illustrating a mechanism for
20 virtualizing a serial port in accordance with a preferred
embodiment of the present invention; and

Figure 5 is a diagram illustrating states used in
virtualizing a port in accordance with a preferred
embodiment of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

5 With reference now to the figures, and in particular with reference to **Figure 1**, a pictorial representation of a distributed data processing system is depicted in which the present invention may be implemented.

Distributed data processing system **100** is a network
10 of computers in which the present invention may be implemented. Distributed data processing system **100** contains network **102**, which is the medium used to provide communications links between various devices and computers connected within distributed data processing
15 system **100**. Network **102** may include permanent connections, such as wire or fiber optic cables, or temporary connections made through telephone connections.

In the depicted example, server **104** is connected to hardware system console **150**. Server **104** is also
20 connected to network **102**, along with storage unit **106**. In addition, clients **108**, **110** and **112** are also connected to network **102**. These clients, **108**, **110** and **112**, may be, for example, personal computers or network computers. For purposes of this application, a network computer is
25 any computer coupled to a network that receives a program or other application from another computer coupled to the network. In the depicted example, server **104** is a logically partitioned platform and provides data, such as boot files, operating system images and applications, to
30 clients **108-112**. Hardware system console (HSC) **150** may be a laptop computer and is used to display messages to an operator from each operating system image running on

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server **104**, as well as to send input information, received from the operator, to server **104**. In this example HSC **150** is connected to server **104** by a serial port.

5 Clients **108**, **110** and **112** are clients to server **104**. One of these clients, such as client **108** may be implemented as an HSC in addition to HSC **150**. This implementation may be aided by the mechanism of the present invention to virtualize a serial port that might
10 normally be a default port for applications that may be accessed by an HSC. The mechanism of the present invention activates a switch to redirect the data flow from the serial port, for formatting into a format transfer over network **102** to client **108**. In these
15 examples, the data stream is packetized to form a set of packets that are transferred to client **108**.

Distributed data processing system **100** may include additional servers, clients, and other devices not shown. Distributed data processing system **100** also includes
20 printers **114**, **116** and **118**. A client, such as client **110**, may print directly to printer **114**. Clients, such as client **108** and client **112**, do not have directly attached printers. These clients may print to printer **116**, which is attached to server **104**, or to printer **118**, which is a
25 network printer that does not require connection to a computer for printing documents.

In the depicted example, distributed data processing system **100** is the Internet, with network **102** representing a worldwide collection of networks and gateways that use
30 the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of

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high-speed data communication lines between major nodes or host computers consisting of thousands of commercial, government, education, and other computer systems that route data and messages. Of course, distributed data processing system **100** also may be implemented as a number of different types of networks, such as, for example, an intranet or a local area network.

Figure 1 is intended as an example and not as an architectural limitation for the processes of the present invention.

With reference now to **Figure 2**, a block diagram of a data processing system in accordance with the present invention is illustrated. Data processing system **200** is an example of a client or a hardware system console, such as hardware system console **150** depicted in **Figure 1**.

Data processing system **200** employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures, such as Micro Channel and ISA, may be used. Processor **202** and main memory **204** are connected to PCI local bus **206** through PCI bridge **208**. PCI bridge **208** may also include an integrated memory controller and cache memory for processor **202**. Additional connections to PCI local bus **206** may be made through direct component interconnection or through add-in boards. In the depicted example, local area network (LAN) adapter **210**, SCSI host bus adapter **212**, and expansion bus interface **214** are connected to PCI local bus **206** by direct component connection. In contrast, audio adapter **216**, graphics adapter **218**, and audio/video adapter (A/V) **219** are connected to PCI local bus **206** by add-in boards

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inserted into expansion slots. Expansion bus interface **214** provides a connection for a keyboard and mouse adapter **220**, modem **222**, and additional memory **224**. In the depicted example, SCSI host bus adapter **212** provides a connection for hard disk drive **226**, tape drive **228**, CD-ROM drive **230**, and digital video disc read only memory drive (DVD-ROM) **232**. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

10 An operating system runs on processor **202** and is used to coordinate and provide control of various components within data processing system **200** in **Figure 2**. The operating system may be a commercially available operating system, such as OS/2, which is available from
15 International Business Machines Corporation. "OS/2" is a trademark of International Business Machines Corporation. An object-oriented programming system, such as Java, may run in conjunction with the operating system, providing calls to the operating system from Java programs or
20 applications executing on data processing system **200**. Instructions for the operating system, the object-oriented operating system, and applications or programs are located on a storage device, such as hard disk drive **226**, and may be loaded into main memory **204**
25 for execution by processor **202**.

Those of ordinary skill in the art will appreciate that the hardware in **Figure 2** may vary depending on the implementation. For example, other peripheral devices, such as optical disk drives and the like, may be used in
30 addition to or in place of the hardware depicted in **Figure 2**. The depicted example is not meant to imply

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architectural limitations with respect to the present invention. For example, the processes of the present invention may be applied to multiprocessor data processing systems.

5 With reference now to **Figure 3**, a block diagram of a data processing system, which may be implemented as a logically partitioned server, such as server **104** in **Figure 1**, is depicted in accordance with the present invention. Data processing system **300** may be a symmetric
10 multiprocessor (SMP) system including a plurality of processors **301**, **302**, **303**, and **304** connected to system bus **306**. For example, data processing system **300** may be an IBM RS/6000, a product of International Business Machines Corporation in Armonk, New York. Alternatively, a single
15 processor system may be employed. Also connected to system bus **306** is memory controller/cache **308**, which provides an interface to a plurality of local memories **360-363**. I/O bus bridge **310** is connected to system bus **306** and provides an interface to I/O bus **312**. Memory
20 controller/cache **308** and I/O bus bridge **310** may be integrated as depicted.

 Data processing system **300** is a logically partitioned data processing system. Thus, data processing system **300** may have multiple heterogeneous
25 operating systems (or multiple instances of a single operating system) running simultaneously. Each of these multiple operating systems may have any number of software programs executing within in it. Data processing system **300** is logically partitioned such that
30 different I/O adapters **320-321**, **328-329**, **336-337**, and **346-347** may be assigned to different logical partitions.

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Peripheral component interconnect (PCI) Host bridge **314** connected to I/O bus **312** provides an interface to PCI local bus **315**. A number of Terminal Bridges **316-317** may be connected to PCI bus **315**. Typical PCI bus
 5 implementations will support four Terminal Bridges for providing expansion slots or add-in connectors. Each of Terminal Bridges **316-317** is connected to a PCI I/O adapter **320-321** through a PCI Bus **318-319**. Each I/O adapter **320-321** provides an interface between data
 10 processing system **300** and input/output devices such as, for example, other network computers, which are clients to server **300**. Only a single I/O adapter **320-321** may be connected to each terminal bridge **316-317**.

Additional PCI host bridges **322, 330, and 340**
 15 provide interfaces for additional PCI buses **323, 331, and 341**. Each of additional PCI buses **323, 331, and 341** are connected to a plurality of terminal bridges **324-325, 332-333, and 342-343**, which are each connected to PCI I/O adapters **328-329, 336-337, and 346-347** by PCI buses
 20 **326-327, 334-335, and 344-345**. Thus, additional I/O devices, such as, for example, modems or network adapters may be supported through each of PCI I/O adapters **328-329, 336-337, and 346-347**. In this manner, server **300** allows connections to multiple network computers. A
 25 memory mapped graphics adapter **348** and hard disk **350** may also be connected to I/O bus **312** as depicted, either directly or indirectly.

Management of logical partitions is achieved through terminals, such as hardware system consoles (HSCs). This
 30 access is provided in these examples through service processor **366**, nonvolatile random access memory (NVRAM)

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368, and input/output (I/O) adapter **370**. HSCs connect to service processor **366** through I/O adapter **370**. In these examples, I/O adapter **370**, may be, for example, a serial port or an Ethernet adapter.

5 The mechanism of the present invention allows for redirection of data streams from a serial port to another port, such as an Ethernet adapter. In these examples, service processor **366** controls a hardware switch to a default or standard I/O device, such as a serial port, to
10 redirect the data stream to another port.

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 3** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or
15 in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

The present invention provides an improved method, apparatus, and computer implemented instructions for
20 redirecting data streams from a default port to a desired port. Turning next to **Figure 4**, a diagram illustrating a mechanism for virtualizing a serial port is depicted in accordance with a preferred embodiment of the present invention. For example, in data processing system **400**,
25 hardware switch **402** is placed between multifunction I/O function chip **404** and the default port, serial port **406**. For many programs and applications, data can only be sent through serial port **406** to local terminal **408** using an RS-232 communications protocol.

30 The mechanism of the present invention allows for the default port to be virtualized and for the data to be sent through a desired port, such a port **410**. A signal

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may be sent through line **412** to hardware switch **402** to redirect a data stream intended for output through serial port **406** to a service/support processor **414**.

Service/support processor **414** then takes the data stream
5 and generates a set of packets from the data stream to form a packetized data stream. In these examples, the set of packets conform to standards used for sending data over Transmission Control Protocol/Internet Protocol (TCP/IP) networks. TCP/IP is a communications protocol
10 used on the Internet and has become the global standard for communications. TCP provides transport functions, which ensure that the total amount of bytes sent is received correctly at the other end. The IP part of TCP/IP provides the routing capability. In a routable
15 protocol, all messages contain not only the address of the destination station, but the address of a destination network. This address allows TCP/IP messages to be sent to multiple networks within an organization or around the world.

20 This packetized data stream may then be sent to remote terminal **416** through port **410**, which is an Ethernet port to a LAN or serial line IP (SLIP). SLIP is a data link protocol for dial-up access to TCP/IP networks.

25 When the packetized data stream is received by remote terminal **416**, the data is unpacketized and displayed. Further, packetized data streams may be received by service/support processor **414** from remote terminal **416** through port **410**. This data stream is
30 unpacketized and sent back to the program or application through hardware switch **402**. In these examples, unpacketizing the data involves removing data from the

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packets and placing the data into the appropriate format for transmission to the destination, such as an application or a program.

Turning now to **Figure 5**, a diagram illustrating states used in virtualizing a port is depicted in accordance with a preferred embodiment of the present invention. State machine **500** illustrates different states for a mechanism or program used to virtualize a port, such as a serial port. In the depicted examples, these states may be implemented as computer instructions executed by a processor, such as service processor **366** in **Figure 3** or service/support processor **414** in **Figure 4**.

State machine **500** begins in state **S0** by waiting for an enable request from an HSC. Upon receiving an enable request, state machine **500** shifts from state **S0** to state **S1** to change the switch position to direct data to the processor. The switch may be moved by sending a signal to the switch. Thereafter, state machine **500** shifts to state **S3** to wait for an event. If data arrives from the RS-232 interface for the serial port, state machine **500** shifts to state **S4** from state **S3** and starts a buffer timer if the receive buffer is empty. Further, the data is placed into the receive buffer in state **S4**.

Thereafter, state machine **500** shifts back to state **S3**. In state **S3** if the buffer timer expires, state machine **500** shifts to state **S5** and removes the data from the receive buffer, places the data into a packet, and sends the packet to the HSC. Afterwards, state machine **500** shifts back to state **S3**.

In state **S3**, if data is received from the HSC, state machine **500** shifts to state **S6**. The data is in a

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packetized format. In state **S6**, the data is unpackitized and transmitted over the RS-232 interface. State machine **500** then returns to state **S3**. If in state **S3**, a disable request is received from the HSC, state machine **500** shifts to state **S7** and the switch is then moved to direct data to the external connector, which is the serial port in these examples. At this point, data is then directed through the serial port. Thereafter, state machine **500** returns to state **S0** to await an enable request from the HSC.

In this manner, the mechanism of the present invention provides a method, apparatus, and computer implemented instructions for virtualizing a port, such as a serial port. Applications, which direct data to a default report, do not require modification to allow the data to be transmitted through another port. In the depicted examples, the serial port is virtualized to allow redirection of data intended for the serial port to be sent to another port, such as an Ethernet port. Further, no changes are required to the I/O chips. In these examples, the switch is placed between the I/O chip and the physical port itself.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media

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include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

10 The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are
15 suited to the particular use contemplated.
20